



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Handwritten signatures and stamps at the top right, including a circular stamp with "Patent 236/238" and a handwritten "#7/1/99".

In re the Application of:

TANAKA et al.

Serial No.: 09/322,201

Filed: May 28, 1999

For: SPECIALLY SHAPED BALLOON  
DEVICE FOR USE IN SURGERY AND  
METHOD OF US

Group Art Unit: 3731

Examiner: William Lewis

AMENDMENT TRANSMITTAL

Box AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment for the above-identified application.

08/18/2000 SDUONG 00000012 09322201

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110.00 OP

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AUG 23 2000

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OC-55286.1

CERTIFICATE OF MAILING  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

August 14, 2000  
Date of Deposit

Mary L. Smith

Name of Person Mailing Paper

Signature of Person Mailing Paper

- ☒ Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
1 month	<input type="checkbox"/> \$55.00	<input checked="" type="checkbox"/> \$110.00
2 months	<input type="checkbox"/> \$190.00	<input type="checkbox"/> \$380.00
3 months	<input type="checkbox"/> \$435.00	<input type="checkbox"/> \$870.00
4 months	<input type="checkbox"/> \$680.00	<input type="checkbox"/> \$1,360.00
5 months	<input type="checkbox"/> \$925.00	<input type="checkbox"/> \$1,850.00

- ☐ An extension for \_\_\_\_\_ months has already been secured and the fee paid therefor of \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.
- ☒ Extension fee due with this Request \$110.00.
- ☒ If an additional extension of time is required, please consider this a petition therefor.

#### FEES FOR CLAIMS:

The fees for claims (37 CFR § 1.16(b)-(d)) have been calculated as shown below:

Total Claims	38	-	42	=	0	x	\$18.00	\$0.00
Independent Claims	4	-	5	=	0	x	\$78.00	\$0.00
Multiple Dependent Claims	\$260	(if applicable)					<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>								<b>\$0.00</b>
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.								\$0.00
<b>TOTAL FEES FOR CLAIMS SUBMITTED HERewith</b>								<b>\$0.00</b>

- ☒ A check in the amount of \$110.00 is enclosed to cover the above fee(s).
- ☐ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of \_\_\_\_\_.

☒ The Commissioner is authorized to charge Lyon & Lyon's Deposit Account No. **12-2475** for any fees required under 37 CFR §§ 1.16 and 1.17 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account **12-2475**.

Respectfully submitted,

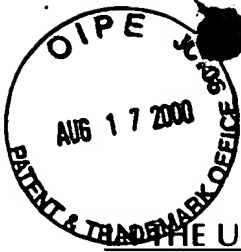
LYON & LYON LLP

Dated: August 14, 2000

By: 

David E. Wang  
Reg. No. 38,358

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Los Angeles, California 90071-2066  
(213) 489-1600



*S. Little*  
Patent  
236/238  
#8/B

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Group Art Unit: 3731

Examiner: William Lewis

AMENDMENT

Commissioner for Patents  
Washington, D.C. 20231

Sir:

This Amendment is directed to the Office Action mailed April 25, 2000. Please amend the application as follows:

IN THE CLAIMS

Please cancel Claims 7 and 40-42 without prejudice to pursue these claims in another application. Please add and amend the claims as follows:

1. (Amended) An apparatus for dissecting tissue to facilitate a laparoscopic surgical procedure in an anatomical region of a patient's body, the apparatus comprising: